

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS  
DEPARTMENT OF BUSINESS REGULATION  
AUCTIONEER DIVISION  
233 RICHMOND STREET SUITE 230  
PROVIDENCE RI 02903  
TEL. (401) 222-3857 FAX (401) 222-6654

RENEWAL FOR AUCTIONEER'S LICENSE

Dear Auctioneer or Apprentice Auctioneer License Holder:

Enclosed please find your renewal application for your TRIENNIAL Auctioneer or Apprentice License. Completed application and proper fees, as indicated below, should be returned prior to November 1, 2000, in order to ensure processing of renewal prior to the expiration of current license.

TO AVOID DELAY IN THE RENEWAL PROCESS READ ALL INSTRUCTIONS CAREFULLY:

- Each application must be accompanied by the required fee as follows:

TRIENNIAL LICENSE FEE:	RESIDENT	\$600.00
	NON-RESIDENT	\$900.00
	APPRENTICE	\$60.00
	APPLICATION FEE	\$10.00

- Make checks payable to: "Rhode Island General Treasurer."
- YOU MUST SUBMIT PROOF OF BOND RENEWAL (a receipt showing renewal of the bond is acceptable).
- Please print or type. No handwritten applications will be accepted.
- Each question must be fully and truthfully answered. Any material misrepresentation will be grounds for refusal or subsequent revocation of license. Attach additional sheets of paper if space provided for answer is not sufficient.

The Licensing Law Title 5 Chapter 58 & the Rules and Regulations pertaining to Auctioneers are available at the Department of Business Regulation for \$2.00 per copy.

If you should have any questions, please feel free to contact me at the above telephone number.

Sincerely,

Joseph Da Cruz, Jr.  
Chief Examiner

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RENEWAL APPLICATION FOR AUCTIONEER and AUCTIONEER APPRENTICE LICENSE

TO AVOID DELAY READ ALL INSTRUCTIONS CAREFULLY:

- Each application must be accompanied by the required TRIENNIAL LICENSE FEE: RESIDENT \$600.00, NON-RESIDENT \$900.00, APPRENTICE \$60.00 and A \$10.00 APPLICATION FEE. Should the license be denied, the license fee will be refunded. The application fee will NOT be refunded.
- Make checks payable to: "Rhode Island General Treasurer."
- Please print or type. No handwritten applications will be accepted.
- Each question must be fully and truthfully answered. Any material misrepresentation will be grounds for refusal or subsequent revocation of license. Attach additional sheets of paper if space provided for answer is not sufficient.

1. \_\_\_\_\_ 2. \_\_\_\_\_  
Name (Last) (First) (Middle) Social Security Number

3. \_\_\_\_\_  
Residence (Street) (City/Town) (State) (Zip)

4. \_\_\_\_\_ 5. \_\_\_\_\_ 6. \_\_\_\_\_  
Home Telephone Number Date of Birth Place of Birth

7. Height: \_\_\_\_\_ 8. Weight: \_\_\_\_\_ 9. Color of Eyes: \_\_\_\_\_ 10. Color of Hair: \_\_\_\_\_

11. \_\_\_\_\_  
Name and Address of Employer

12. \_\_\_\_\_ 13. \_\_\_\_\_ 14. \_\_\_\_\_  
Business Telephone Number Date of Employment Current License Number

15. Complete the following questions by checking the appropriate box. Explain any "yes" answers in detail on separate sheet(s) of paper and attach statement to this application.

\_\_\_\_\_ Yes \_\_\_\_\_ No Have you ever been denied any auctioneer license or apprentice auctioneer license or permit in this or in any other state or jurisdiction?

\_\_\_\_\_ Yes \_\_\_\_\_ No Have you ever had an auctioneer license or apprentice auctioneer license or permit suspended or revoked, or have you ever been disciplined by the licensing authority in this or any other state or jurisdiction?

\_\_\_\_\_ Yes \_\_\_\_\_ No Have you ever had any other business or professional license of any kind denied, suspended, or revoked in this or any other state or jurisdiction?

\_\_\_\_\_ Yes \_\_\_\_\_ No Have you ever (1) been convicted of or plead nolo contendere to anything other than a minor traffic violation or (2) been convicted or plead nolo contendere to any crime of moral turpitude, or (3) been convicted or plead nolo contendere to misrepresenting goods sold at auction, or (4) been convicted or plead nolo contendere to appropriating or unlawfully converting monies of others?

\_\_\_\_\_ Yes \_\_\_\_\_ No      Are there any unpaid judgments of debt now outstanding against you?

CONTINUED ON BACK

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16. **FOR APPRENTICE AUCTIONEER RENEWAL APPLICANTS:**

The auctioneer with whom the applicant will be affiliated or associated with must personally sign the following statement:

This is to certify that the apprentice auctioneer applicant named in this application will, when issued a permit by the Director of Business Regulation, be associated with, employed, or engaged by me in the capacity of an apprentice auctioneer, that I will exercise proper supervision over and assume responsibility for his/her acts as an apprentice auctioneer while associated with me in accordance with applicable provisions of any rules and regulations promulgated by the Director, and I certify that to the best of my knowledge he/she is a person of honesty, truthfulness, and integrity, and that I will personally appear before the Director and/or his/her designated representative(s) in conjunction with this application if requested to do so. I further certify that I have read the completed application before signing below.

X\_\_\_\_\_

Signature of Supervising Auctioneer

\_\_\_\_\_

Business Address (City/Town, State, Zip)

Supervising Auctioneer License number: \_\_\_\_\_

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17. BOND INFORMATION

BOND NUMBER: \_\_\_\_\_ EXPIRATION DATE: \_\_\_\_\_

Name and address of company supplying bond: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

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The undersigned hereby applies for a renewal auctioneer's license/apprentice auctioneer's permit pursuant to the provisions of Title 5, Chapter 58, of the General Laws of Rhode Island and any rules and regulations in support of the law promulgated by the Director. The undersigned makes oath that he/she has read and understands his/her obligations under the law and swears to the truth and accuracy of all statements, answers and representations made in the application, including all supplementary statements hereto attached.

X\_\_\_\_\_

Signature of Applicant

\_\_\_\_\_

Date

Subscribed and sworn to at \_\_\_\_\_, before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

\_\_\_\_\_

Signature of Notary Public